

9

FOR PCHDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE CITATION**
(Use several sheets if necessary)

Sheet 1 of 5

ATTORNEY DOCKET NO.	SERIAL NO.
3174-000009	09/817,560
APPLICANT	
Donald J. Williams et al.	
FILING DATE	GROUP
March 26, 2001	2834

U.S. PATENT DOCUMENTS						
R f. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriat) Filing Date
1.	DL	2002/0089251A1	07/11/2002	Tajima et al.	310/216	
2.		2001/0030517 A1	10/18/2001	Batzel	318/254	
3.		2001/0010452 A1	08/02/2001	Moriarty	318/700	
4.		3,604,222	09/14/1971	Sandkrug et al.	319/69	
5.		4,130,770	12/19/1978	Wrobel	310/67n	
6.		4,340,829	07/20/1982	McCoy	310/71	
7.		4,772,839	09/20/1988	MacMinn et al.	318/696	
8.		4,883,982	11/28/1989	Forbes et al.	310/62	
9.		4,896,089	01/23/1990	Kliman et al.	318/701	
10.		4,950,932	08/21/1990	Harms et al.	310/67n	
11.		4,959,596	09/25/1990	MacMinn et al.	318/254	
12.		5,173,650	12/22/1992	Hedlund	318/701	
13.		5,257,828	11/02/1993	Miller et al.	180/79.1	
14.		5,327,053	07/05/1994	Mann et al.	318/254	
15.		5,467,025	11/14/1995	Ray	324/172	
16.		5,578,880	11/26/1996	Lyons et al.	310/90.5	
17.		5,583,387	12/10/1996	Takeuchi et al.	270/217	
18.		5,740,880	04/21/1998	Miller	180/446	
19.		5,786,651	07/28/1998	Suzuki	310/259	
20.		5,806,169	09/15/1998	Trago et al.	29/216	
21.		5,811,905	09/22/1998	Tang	310/179	

Examiner: <i>Conny LH</i>	Date Considered: <i>6/24/02</i>
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Sheet 2 of 5

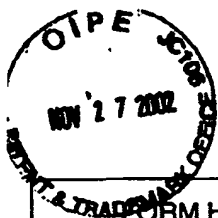
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U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
22.	DL	5,821,661	10/13/1998	Wissmach et al.	202/194	
23.		5,877,568	03/02/1999	Maes et al.	202/683	
24.		5,994,804	11/30/1999	Grennan et al.	310/602	
25.		6,041,625	03/28/2000	Nagai et al.	68/12.0-8	
26.		6,104,113	08/15/2000	Beifus	310/6815	
27.		6,194,806	02/27/2001	Suzuki et al.	310/269	
28.		6,219,900	04/24/2001	Suzuki	291/578	
29.		6,266,591	06/24/2001	Wilson-Jones et al.	701/41	
30.		6,329,782	12/11/2001	Chen et al.	318/127	
31.		6,359,412	03/19/2002	Heglund	318/701	
32.		6,369,687	04/09/2002	Akita et al.	336/234	
33.		6,373,211	04/16/2002	Henry et al.	218/432	

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Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes No
1.	DL	EP0868010A1	30-09-98	Europe		X
2.		EP0823711A1	11-02-98	Europe		X
3.		EP0871282A1	14-10-98	Europe		X
4.		EP0778660A3	30-09-98	Europe		X
5.		EP0967135A2	29-12-99	Europe		X

Examiner: <i>L. H. H.</i>	Date Considered: <i>6/24/03</i>
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R f. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
6.	DL	FR2719324 ✓	03-11-95	France		X	
7.		EP0350429 ✓	10-01-90	Europe		X	
8.		11-341717 ✓	10-12-99	Japan		X	
9.		11-332138 ✓	30-11-99	Japan		X	
10.		2000-333388 ✓	30-11-00	Japan		X	
11.		09-322439 ✓	12-12-97	Japan		X	
12.		2000-312451 ✓	07-11-00	Japan		X	
13.		11-289728 ✓	19-10-99	Japan		X	
14.		11-289727 ✓	19-10-99	Japan		X	
15.		11-289726 ✓	19-10-99	Japan		X	
16.		11-289701 ✓	19-10-99	Japan		X	
17.		11-275830 ✓	08-10-99	Japan		X	
18.		10-271718 ✓	09-10-98	Japan		X	
19.		09-233742 ✓	05-09-97	Japan		X	
20.		2000-224790 ✓	11-08-00	Japan		X	
21.		10-210721 ✓	07-08-98	Japan		X	
22.		11-191987 ✓	13-07-99	Japan		X	
23.		10-174319 ✓	26-06-98	Japan		X	
24.		2000-139052 ✗	16-05-00	Japan		X	
25.		11-089129 ✓	30-03-99	Japan		X	

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Date Considered: *6/24/03*

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Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
26.	DL	05-038086 ✓	12-02-93	Japan		X	
27.		2000-37050 ✓	02-02-00	Japan		X	
28.		08-033292 ✓	02-02-96	Japan		X	
29.		11-018331 ✓	22-01-99	Japan		X	
30.		2000-014057 ✓	14-01-00	Japan		X	
31.		2001-008395 ✓	12-01-01	Japan		X	
32.		WO 00/79670A1 ✓	28-12-00	WIPO		X	
33.		WO 00/37731 ✓	29-06-00	WIPO		X	
34.		GB 1200279 ✓	29-07-70	Great Britain		X	
35.		09084282 ✓	28-03-97	Japan		X	
36.		61030938 ✓	13-02-86	Japan		X	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

Ref. Desig.	Examiner's Initials	
1.	DL	G. Gallegos-Lopez, P.C. Kjaer, T.J.E. Miller, "A New Rotor Position Estimation Method For Switched Reluctance Motors Using PWM Voltage Control", in Proc EPE'97, 7th European Conf. on Power Electronics and Applications 8-10 Sept. 1997 Trondheim Norway Vol. 3 pp. 580-585. ✓
2.	DL	Stephenson/Blake, "The Characteristics, Design and Applications of Switched Reluctance Motors and Drives", presented at the PCIM '93 Conference and Exhibition at Nuremburg, Germany, June 21-24, 1993. ✓

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6/26/03

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
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3.	RL	T.J.E. Miller, "Switched Reluctance Motors and Their Control", Magna Physics Publishing and Clarendon Press Oxford 1993. ✓
4.	DL	WF Ray and IH Al-Bahadly, "Sensorless Methods for Determining the Rotor Position of Switched Reluctance Motors", published in the Proceedings of the European Power Electronics Conference, Brighton UK 13-16 Sept. 1993 Vol. 6, pp. 7-13. ✓

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Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.	DL	4,812,695	03/14/1989	Parshall	310/258	
2.	DL	5,672,925	09/30/1997	Lipo et al.	310/154	
3.	DL	6,127,753	10/03/2000	Yamazaki et al.	310/71	

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Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.	DL	JP11-289701	10/19/1999	Japan	—	X	

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Examiner:

Henry Lee

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1.	DL	3,914,859	10/28/1975	Pierson	29/596	
2.	↑	4,635,349	01/13/1987	Rabe	29/596	
3.	↑	5,691,591	11/25/1997	McCann	30/198	
4.	↑	6,087,755	07/11/2000	Suzuki et al.	30/254	
5.	↑	6,166,468	12/26/2000	Suzuki et al.	30/90	
6.	↓	6,177,751	01/23/2001	Suzuki et al.	30/269	
7.	DL	6,389,678	05/21/2002	Ackermann et al.	29/596	

FOREIGN PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes No
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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
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Examiner: <i>Long L. L.</i>	Date Considered: <i>6/24/02</i>
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09/817560

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U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.	DL	1,756,672	04/29/1930	J.M. Barr	310/214	
2.		2,688,103	08/31/1954	D. F. Sheldon	310/214	
3.		4,149,309	04/17/1979	Mitsui	29/598	
4.		5,212,419	05/18/1993	Fisher et al.	310/254	
5.		5,256,926	10/26/1993	Hagenlocher et al.	310/259	
6.		5,583,387	12/10/1996	Takeuchi et al.	310/47	
7.		5,729,072	03/17/1998	Hirano et al.	310/258	

FOREIGN PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.	DL	SU 1354-338 A1	11/23/1987	Russia		X	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

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U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.	DL	5,786,651	07/28/1998	Suzuki	310/259	
2.	DL	6,204,579	03/20/2001	Arpino	310/43	
3.	DL	6,219,900	04/24/2001	Suzuki	29/598	

FOREIGN PATENT DOCUMENTS

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Sheet 1 of 4

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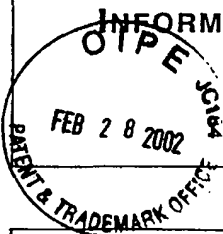
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1.	DL	3,979,821	09/14/1976	Noodleman	29/58	
2.		3,987,324	10/19/1976	Linkous	310/197	
3.		4,418,307	11/29/1983	Hoffmann et al.	318/721	
4.		4,584,495	04/22/1986	Kordik	370/492	
5.		4,819,460	04/11/1989	Obradovic	68/23.7	
6.		4,845,837	07/11/1989	Lloyd	29/588	
7.		4,922,165	05/01/1990	Crawford et al.	310/215	
8.		4,953,284	09/04/1990	Hammer et al.	29/596	
9.		4,998,052	03/05/1991	Erdman et al.	318/701	
10.		5,034,642	07/23/1991	Hoemann et al.	310/156	
11.		5,076,076	12/31/1991	Payne	68/12.14	
12.		5,161,393	11/10/1992	Payne et al.	68/12.04	
13.		5,194,775	03/16/1993	Cooper	310/260	
14.		5,252,902	10/12/1993	Uehara et al.	318/599	
15.		5,301,523	04/12/1994	Payne et al.	68/12.14	
16.		5,325,677	07/05/1994	Payne et al.	68/12.04	
17.		5,457,375	10/10/1995	Marcinkiewicz et al.	318/802	
18.		5,467,025	11/14/1995	Ray	324/772	
19.		5,491,859	02/20/1996	Richardson	8/159	
20.		5,563,463	10/08/1996	Stark	310/156	
21.	✓	5,589,751	12/31/1996	Lim	318/701	

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Date Considered:

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Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
22.	DL	5,701,064	12/23/1997	Horst et al.	318/701	
23.		5,720,065	02/24/1998	Myers et al.	8/159	
24.		5,763,978	06/09/1998	Uchida et al.	310/215	
25.		5,777,416	07/07/1998	Kolomeitsev	310/168	
26.		5,793,179	08/11/1998	Watkins	318/701	
27.		5,859,518	01/12/1999	Vitunic	318/701	
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29.		5,923,141	07/13/1999	McHugh	318/701	
30.		5,929,590	07/27/1999	Tang	318/701	
31.		5,955,861	09/21/1999	Jeong et al.	318/701	
32.		5,979,195	11/09/1999	Bestell et al.	68/23.2	
33.		5,982,117	11/09/1999	Taylor et al.	318/554	
34.		5,990,592	11/23/1999	Miura et al.	310/156	
35.		5,996,379	12/07/1999	Skrippek	68/140	
36.		6,011,368	01/04/2000	Kalpathi et al.	318/434	
37.		6,020,661	02/01/2000	Trago et al.	310/43	
38.		6,040,647	03/21/2000	Brown et al.	310/86	
39.		6,081,083	06/27/2000	Nashiki	318/256	
40.		6,092,619	07/25/2000	Nishikawa et al.	180/446	
41.	✓	6,102,151	08/15/2000	Shimizu et al.	180/446	

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Date Considered:

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42.	DL	6,107,772	08/22/2000	Liu et al.	318/704	
43.		6,122,579	09/19/2000	Collier-Hallman et al.	701/41	
44.		6,144,131	11/07/2000	Hollenbeck et al.	376/156	
45.		6,188,196	02/13/2001	Koide et al.	318/700	
46.	✓	6,218,753	04/17/2001	Asano et al.	310/156	

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1.	DL	DE2937838A	04/02/1981	Germany	—	X	
2.	DL	JP404049826A	02/19/1992	Japan	—	X	

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Ref. Desig.	Examiner's Initials	
1.	DL	Anthony J. Champagne, "Correlation of Electric Power Steering Vibration to Subjective Ratings", SAE Technical Paper Series 2000-01-0176, March 6-9, 2000, p. 1-3.
2.	DL	Gallegos-Lopez/Kjaer/Miller, "A New Sensorless Method for Switched Reluctance Motor Drives", 1997, Department of Electronics and Electrical Engineering, p. 564-570.
3.	DL	Mvungi/Stephenson, "Accurate Sensorless Rotor Position Detection In An SR Motor", 1991, p. 76-79.
4.	DL	Roy McCann, "Variable Effort Steering for Vehicle Stability Enhancement Using an Electric Power Steering System", SAE Technical Paper Series 2000-01-0817, March 6-9, 2000, p. 1-5.

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Ref. Desig.	Examiner's Initials	
5.	PL	Toboldt/Johnson/Olive, "Goodheart-Willcox Automotive Encyclopedia", 1989, p. 577-584.



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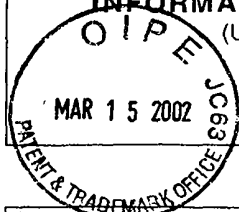
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U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.	DL	6,049,153	04/11/2000	Nishiyama et al.	370/156	
2.		6,127,753	10/03/2000	Yamazaki et al.	370/71	
3.		6,226,856	05/08/2001	Kazama et al.	29/596	
4.		6,300,700	10/09/2001	Nishiyama et al.	310/156.45	

FOREIGN PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.	DL	WO 01/95459 A1	12/13/2001	WIPO	/	X	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

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1.		

Examiner:

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